STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

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WRITER'S DIRECT NUMBER:

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karenm@skgf.com

Assistant Commissioner for Patents Washington, D.C. 20231 **Box Patent Application**

Re: U.S. Non-Provisional Utility Patent Application under 37 C.F.R. § 1.53(b) Appl. No.: *To Be Assigned*; Filed: April 30, 1998 For: Fluorometric Assay for Detecting Nucleic Acid Cleavage Inventors: HAN *et al.* Our Ref: 0654.0630002/SLF/KRM

April 30, 1998

Sir:

The following documents are forwarded herewith for appropriate action by the U.S. Patent and Trademark Office:

- 1. Utility Patent Application Transmittal (PTO/SB/05);
- 2. U.S. Utility Patent Application entitled:

Fluorometric Assay for Detecting Nucleic Acid Cleavage

and naming as inventors: Myun K. HAN S. Paul LEE and Jack G. CHIRIKJIAN

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STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

Assistant Commissioner for Patents April 30, 1998 Page 2

the application consisting of:

a. A specification containing:

(i) 40 pages of description prior to the claims, including a sequence listing on pages 38 to 40;

(ii) 2 pages of claims (14 claims);

(iii) a one (1) page abstract;

b. (13 sheets of drawings: (Figures 1, 2A-2B, 3A-3B, 4-5, 6A-6B, 7A, 7B, 8, 9A, 9B-9C, 10A-10B, 11A and 11C, and 11B);

c. A computer readable disk copy of the sequence listing. In accordance with 37 C.F.R. § 1.821(f), the paper copy of the sequence listing and the computer readable copy of the sequence listing submitted herewith are the same;

- d. A copy of the executed Declaration, as filed in U.S. Appl. No. 08/365,473;
- e. Our Check No. 21713 for \$790.00 to cover filing fee for patent application.
- 3. PTO Fee Transmittal Form PTO/SB/17;
- 4. Authorization to Treat a Reply As Incorporating An Extension of Time Under 37 C.F.R. § 1.136(a)(3); and

5. Two (2) return postcards.

It is respectfully requested that, of the two attached postcards, one be stamped with the filing date of these documents and returned to our courier, and the other, prepaid postcard, be stamped with the filing date and unofficial application number and returned as soon as possible.



Assistant Commissioner for Patents April 30, 1998 Page 3

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036. A duplicate copy of this letter is enclosed.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

Kausk Marlow 15

Karen R. Markowicz Agent for Applicants Registration No. 36,351

SLF:KRM:aye Enclosures

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Under the Paperwork	Reduction	Act of 1995, no persons are rec	uired to respond to a o	collection of in	Patent and Traden formation unless it d	nark Office: U.S. DI	OUGH 09/30/2000. OMB 0651-00 EPARTMENT OF COMMERCE 6 control number.	
					ey Docket No.	-	0002/SLF/KRM	
UTILI	ТҮ РАТЕ	ONT APPLICATION TRA	NSMITTAL		lamed Inventor o ation Identifier	r Myun K. H	IAN	
(Only for new nonprovisional applications under 37 CFR § 1.53(b))			Title	Fluoromet	ric Assay for Dete	cting Nucleic Acid Cleavage		
				Expres	s Mail Label No.			
	A DDI	ICATION ELEMENTS		1	Assistan	t Commissioner for	Potento	
See MPEP o		concerning utility patent appli	cation contents.	ADDRESS	TO: Box Pat	tt Commissioner for ent Application gton, DC 20231	Patents	
		al Form (e.g., PTO/SB/1 I, and a duplicate for fee proce		6. 🔲 N	Aicrofiche Com	puter Program ((Appendix)	
2. 🔀 Specifi	Specification [Total Pages 43] 7. Nucleotide and/or Amino Acid Sequence Submis						ence Submission (if	
(preferred arrangement set forth below) Descriptive title of the Invention Cross References to Related Applications Statement Regarding Fed sponsored R & D 				a. 🗙	Computer Re	eadable Copy		
- Referer - Backgr - Brief S	- Reference to Microfiche Appendix - Background of the Invention - Brief Summary of the Invention			b. 🛛	Paper Copy	(identical to con	nputer copy)	
- Detaile - Claim(s	d Description s)				c. X Statement verifying identity of above copies			
3. 🔀 Drawi	ng(s) (35	U.S.C. 113) [To	otal Sheets 13		ACCOMPAN	YING APPLIC	ATION PARTS	
4. 🔀 🛛 Oath o	or Declara	ation [Total Pages	2]		•	s (cover sheet & do		
a. 🔲 Ne	ewly exec	cuted (original or copy)			37 CFR 3.73(b) St when there is an a		wer of Attorney	
		a prior application (37 (ivisional with Box 17 complete		10. 🗖	English Translatio	n Document (if app	plicable)	
	ote Box 5		u)		nformation Disclo Statement (IDS)/P		ppies of IDS Citations	
i.		DELETION OF INVEN Signed statement attached of	<u>TOR(S)</u> leleting inventor(s)	12.	Preliminary Amen	dment		
	n	named in the prior application (application) (application) (b) (c) and (c)	ion, see 37 CFR §§	13. Return Receipt Postcard (MPEP 503) (Should be specifically itemized)				
5. Incorporation By Reference (useable if Box 4b is checked) The entire disclosure of the prior application, from which a copy of the oath declaration is supplied under Box 4b, is considered as being part of the			14. *Small Entity Statement(s) Statement filed in prior application, Status still proper and desired					
	disclosure of the accompanying application and is hereby incorporated by reference therein.			15. Certified Copy of Priority Document(s) (if foreign priority is claimed)				
				16. 🛛	Other: 37 C.F.R.	§ 1.136(a)(3) Auth	orization 2 ³⁶	
				SMALL ENTI	<u>ITEMS I & 14:</u> IN OR. TY STATEMENT IS RI) TO PAY SMALL ENTITY FEES, A 1.27), EXCEPT IF ONE FILED IN A).	
17. If a CONT	INUING	APPLICATION, check of	ppropriate box, and s	upply the requi	site information bel	ow and in a prelimin	ary amendment:	
🛛 Continu	uation	Divisional	□Continuation-ir	-Part (CIP)	of prior appli	cation No: <u>08/70</u>	06,135	
Prior appli	ication in	formation: Examiner	Fredman	Group//	1rt Unit: 1634			
		1	8. CORRESPON	DENCE A	DDRESS			
	omer Num ar Code La		omer No. or Attac	h bar code la	bel here)	or 🛛 Corres	pondence : below	
NAME	NAME STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.							
	Attorneys at Law							
ADDRESS		Suite 600, 1100 New York Avenue, N.W.						
CITY		Vashington	STATE	DC	2600	ZIP CODE	20005-3934	
COUNTRY	<u>N</u> L	JSA	TELEPHONE	(202) 371	-2000	FAX	(202) 371-2540	
NAME (Pr	int/Type)	Karen R. Markowicz	Registr	ration No. (A	torney/Agent)	36,351]	
SIGNATURE HOUSE, MOULOWICZ Date 4/30/98								
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Burden Hour Statement: this form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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PTO/SB/17 (2/98) oved for use through 09/30/2000. OMB 0651-0032 Trademark Office: U.S. DEPARTMENT OF COMMERCE Patent and

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Linder the Dependent Deduction Act of 1005	no persona are serviced to service di	to a collection of information unless it displays a valid OMB control number	
Under the Faberwork Reduction Act of 1995.	no persons are required to resound t	IO 3 COllection of information unless it displays a valid OMD control number	

FEE TRANSMITTAL

Patent fees are subject to annual revision on October 1. These are the fees effective October 1, 1997. Small Entity payments must be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12. See 37 C.F.R. §§ 1.27 and 1.28.

	Comple	te if Known			
Application Number		To Be Assigned			
	Filing Date	April 30, 1998			
	First Named Inventor	Myun K. HAN			
	Examiner Name	To Be Assigned			
	Group / Art Unit	To Be Assigned			
	Attorney Docket Number	0654.0630002/SLF/KRM	-		

TOTAL AMOUNT OF PAYMENT (\$) 790.00 METHOD OF PAYMENT (check one)

METHOD OF PAYMENT (check one)		FEE CALCULATION (continued)					
1. The Commissioner is hereby authorized to charge indicated fees and credit any overpayment to:	3. ADD	ITIONAL	FEES				
Deposit Account Number 19-0036							
Deposit Account Name Sterne, Kessler, Goldstein & Fox P.L.L.C.	Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid	
Charge Any Additional Fee Charge the Issue Fee Set Required Under 37 CFR in 37 CFR 1.18 at the	105	130	205	65	Surcharge - late filing fee or oath		
1.16 and 1.17 Mailing of the Notice of Allowance	127	50	227	25	Surcharge - late provisional filing fee or cover sheet		
2. Z Payment Enclosed:	139	130	139	130	Non-English specification		
Check Money Order Other*	147	2,520	147	2,520	For filing a request for reexamination		
Charge any deficiencies or credit any overpayments in the fees or fee calculations of Parts 1, 2 and 3 below to Deposit Account No. 19-0036.		920	112	920*	Requesting publication of SIR prior to Examiner action		
FEE CALCULATION	113	1,840*	113	1,840*	Requesting publication of SIR prior Examiner action		
	115	110	215	55	Extension for reply within first month		
BASIC FILING FEE	116	400	216		Extension for reply within second month		
Parge Entity Small Entity	117	950	217		Extension for reply within third month		
Fee Fee Fee Fee Fee Description Fee Paid	118	1,510	218		Extension for reply within fourth month		
	128	2,060	228		Extension for reply within fifth month		
±	<u> </u>	310	219	155	Notice of Appeal		
106 330 206 165 Design filing fee	- 120	310	220	155	Filing a brief in support of an appeal		
107 540 207 270 Plant filing fee	- 121	270	221	135	Request for oral hearing		
节8 790 208 395 Reissue filing fee	- 138	1,510	138	1,510	Petition to institute a public use proceeding		
The second secon	140	110	240	55	Petition to revive - unavoidable		
Subtraction	141	1,320	241	660	Petition to revive - unintentional		
SUBTOTAL (1) (\$) 790.0	0 142	1,320	242	660	Utility issue fee (or reissue)		
	143	450	243	225	Design issue fee		
	144	670	244	335	Plant issue fee		
2. EXTRA CLAIM FEES Fee from Extra below Fee Paid	122	130	122	130	Petitions to the Commissioner		
Total Claims <u>14</u> - 20** = <u>0</u> X = <u>0.00</u> Indep. Claims <u>1</u> - 3** = <u>X</u> = <u>0.00</u>	123	50	123	50	Petitions related to provisional applications		
Multiple Dependent Claims =	126	240	126	240	Submission of Information Disclosure Stmt		
** or number previously paid, if greater; For Reissues, see below Large Entity Small Entity Fee Fee Fee Fee Fee Fee Description Code (\$) Code (\$)	581	40	581	40	Recording each patent assignment per property (times number of properties)		
Code (\$) Code (\$) 103 22 203 11 Claims in excess of 20	146	790	246	395	Filing a submission after final rejection		
102 82 202 41 Independent claims in excess of 3				((37 ČFR 1.129(a))		
104 270 204 135 Multiple dependant claim	149	790	249	395 I	For each additional invention to be examined		
108 82 209 41 **Reissue independent claims over original patent	Other for (a	:6.)		((37 CFR 1.129(b))		
110 22 210 11 **Reissue claims in excess of 20 and over original patent	Other fee (s Other fee (s	• •					
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SUBTOTAL (2) (\$)0.00			J '	·	SUBTOTAL (3) (\$)	0.00	
SUBMITTED BY	-				Complete (if applicable)		
Typed or							
Printed Name Karen R. Markowicz					Reg. Number 36,351		

Kaurk Mailwowiz 4-30-98 Date Deposit Acct. User ID 19-0036 Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Signature

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



In re application of:

HAN et al.

Appl. No. To Be Assigned

Filed: April 30, 1998

For: Fluorometric Assay for Detecting Nucleic Acid Cleavage Art Unit: *To Be Assigned* Examiner: *To Be Assigned* Atty. Docket: 0654.0630002/SLF/KRM

Authorization To Treat A Reply As Incorporating An Extension Of Time Under 37 C.F.R. § 1.136(a)(3)

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

The U.S. Patent and Trademark Office is hereby authorized to treat any concurrent or future reply that requires a petition for an extension of time under this paragraph for its timely submission, as incorporating a petition for extension of time for the appropriate length of time. The U.S. Patent and Trademark Office is hereby authorized to charge all required extension of time fees to our Deposit Account No. 19-0036, if such fees are not otherwise provided for in such reply. A duplicate copy of this petition is enclosed.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

Kau R. Markowicz

Karen R. Markowicz Agent for Applicants Registration No. 36,351

Date: <u>4/30/98</u>

1100 New York Avenue, N.W. Suite 600 Washington, D.C. 20005-3934 (202) 371-2600 P:\USERS\AELLIS\KAREN\0654\063-2\063-2.aut SKGF Rev. 1/26/98 clp